



NOTICE OF MEETING

MEMBERS OF THE BOARD OF TRUSTEES

The Gulf Coast Center Board of Trustees meeting for the month of January will be held on Wednesday, **January 29, 2020** at **6:15 p.m.** at the Brazoria County Community Service Center, 101 Tigner, Angleton, Texas.

Thank you,

Cathy Claunch-Scott
Secretary to the Board of Trustees

cc: January Board of Trustees File



10000 Emmett F Lowry,
Suite 1220
Texas City, TX 77591

409.763.2373

Toll Free- 1-800-643-0967
FAX 409.978-2401

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1. **Call To Order** Jamie Travis, Board Chair
2. **Citizens' Comments**
3. **Program Report: Audit Presentation**.....Eide Bailly, LLP
4. **Board Member Reports**
 - a. Texas Council of Community Centers **(Pg. 1-2)** Jamie Travis, Board Chair
 - b. Texas Council Risk Management Fund.....Mary Lou Flynn-Dupart, TCRMF Board Chair
5. **CEO Report** Melissa Tucker, CEO
 - a. CCBHC Update
6. **Operations Report**.....Melissa Tucker, CEO
 - a. 1st Quarter Board Report
7. **Budget, Finance and Admin Reports** Rick Elizondo, CFO
 - a. Financial & Operational Monthly Report & YTD Summary **(Pg. 3-5)**
 - b. Sale of Property Update
 - c. Corporate Compliance Annual Training and 2019 Report Approval **(Pg. 6-10)**
8. **Consent Agenda**.....Linda Bell, JD
Consideration and Approval of Recommendations and Acceptance of Consent Agenda Items. (*Consent agenda items may be pulled from this consideration for individual action or presentation.*)
 - a. Review and approval of December 11, 2019 board meeting minutes. **(Pg. 11-15)**
 - b. Review and approval of November & December 2019 check register.
9. **Action Items**.....Linda Bell, JD
 - a. Consider the approval of the FY2020 Budget Amendment #1. **(Pg. 16-17)**
 - b. Consider the acceptance of the FY2019 Financial and Compliance Audit.
 - c. Consider the approval of Amendment #2 the HHSC Mental Health First Aid (MHFA) contract #HHS000181000001. This amendment increases training funds by \$15,000 per year through 2023 for a total increase of \$60,000.

- d. Consider the approval of Amendment #8 to the HHSC Adult Specialized Female (TRF) contract #2016-048313-006. This amendment increases funding by \$1,528,463.00.
- e. Consider ratification of the FY20 renewal agreement with **J Allen and Associates of Texas, LLC** for comprehensive psychiatric/telepsychiatric and mental health services and pharmacy services in the Brazoria County Jail. Reimbursement: \$1000/mo plus medication expense. Term: December 1, 2019 – November 30, 2020. (no change from FY19)
- f. Consider ratification of the FY20 professional service renewal agreement with **Christopher L. Baker** for social security representation and counseling services for individuals designated by GCC and specialized training for staff regarding social security benefits representation and counseling. Reimbursement: \$500 for full social security representations; \$500 for full training; \$100/mo for 8 hours of consultation; \$250 for small group refresher; and \$100 for 1:1 refresher. (no change from FY19)
- g. Consider approval of the new mileage reimbursement rate of 57.5 cents/mile decrease from .58 cents/mile. This decrease is in keeping with the rate decrease that has been implemented by the State of Texas; and is consistent with the IRS allowable mileage rate for the use of personal vehicles – both decreased on January 1, 2020.
- h. Consider the approval of Carol Gaylord to be appointed as the Center's representative on the ETBHN Regional Planning and Network Advisory Committee.
- i. Consider approval of the recommended vendor, **Integrated Technology Solutions** for Audio Visual Equipment for the Administration Board Room. Description: Requesting funding to upgrade the Admin board room which will allow us to modernize how we conduct meetings, host video conferences and presentations. These innovations will include a large theater screen, HD laser projector, and an audio system. GCC received five (5) budgetary quote proposals and Integrated Technology Solutions provided the best AV equipment quality for the price when compared to other vendors. The quotes ranged from \$8,000-39,000K. Integrated Technology Solutions came in at \$9,985.00.

10. Pending or Revised Action Items.....Linda Bell, JD

Pending or revised items are those items which were on a prior board agenda but not completely resolved or there has been a revision since approval. The items may be listed for update purposes or final action by the Board.

11. Calendar.....Jamie Travis, Board Chair

Date	Event	Time	Location
February 26, 2020	Board Meeting	6:15 PM	Texas City
March 25, 2020	Board Meeting	6:15 PM	Angleton
April 22, 2020	Board Meeting	6:15 PM	Texas City
May 27, 2020	Board Meeting	6:15 PM	Angleton
June 24-26, 2020	Annual Conference		Grand Hyatt – San Antonio
July 22, 2020	Board Meeting	6:15 PM	Texas City
August 26, 2020	Board Meeting	6:15 PM	Angleton

12. Executive Session

If you need additional assistance to effectively participate in or observe this meeting please contact (409) 763-2373 at least 24 hours prior to the meeting so that reasonable accommodations can be made to assist you.

As authorized by Chapter §551.071 of the Texas Government Code, the Board of Trustees reserves the right to adjourn into Executive Session at any time during the course of this meeting to seek legal advice from its Attorney about any matters listed on the agenda.

EXECUTIVE SESSION PURSUANT TO SECTIONS 551.071 AND 551.072 OF THE TEXAS GOVERNMENT CODE: CONSULTATION WITH COUNSEL AND DELIBERATION REGARDING THE PURCHASE, EXCHANGE, OR VALUE OF REAL PROPERTY LOCATED AT 4650 DIXIE FARM RD, PEARLAND, TX

- 13. Reconvene to Open Session**
- 14. Action Regarding Executive Session**
- 15. Adjourn**

THINGS EVERY BOARD MEMBER SHOULD BE TALKING ABOUT

January 2020

CCBHC Certification and DSRIP Transition Plan

Community Centers continue progress toward system-wide Certified Community Behavioral Health Clinic (CCBHC) certification and a directed payment model. All Centers have initially identified a 3-month time period before August 2021 when they anticipate readiness to begin the HHSC certification process.

The Texas Council will host three upcoming CCBHC-related meetings:

- Addressing Social Drivers of Health: From Data to Operations (January 22, 2020)
- Integrated Care: Achieving Physical Health Goals (February 20, 2020)
- Integrated Care: Access to Addiction Services (March 25, 2020)

In December 2019, Texas Council met with HHSC to discuss underlying financial information and cost projections associated with implementation of CCBHC directed payments and access to STAR+PLUS benefits for the SMI population. Texas Council provided all FY19 Center-specific cost reports and aggregated data. We anticipate follow-up questions from HHSC that will require providing HHSC additional information as they begin their analysis. Texas Council will continue to request and refine information necessary to best inform HHSC.

Texas Child Mental Health Care Consortium

The newly-established Texas Child Mental Health Care Consortium, as directed by S.B. 11 (86th Legislature), met for the first time in August 2019 and since has established its basic structure and implementation and budget plans. The Consortium's focus is school safety and mental health promotion in public schools. The Community Center system is represented on the Consortium by Danette Castle.

A draft implementation plan was submitted to the Legislative Budget Board in November 2019 and is pending approval. Highlights from the plan include:

- **Child Psychiatry Access Network (CPAN)** is intended to offer a network of child psychiatry access centers for purpose of providing consultation services and training opportunities for pediatricians and primary care providers treating children and youth with behavioral health needs. CPAN programs at twelve (12) Health-Related Institutions were recommended for funding (**\$26.8 million for two years**).
- **Texas Child Health Access Through Telemedicine (TCHAT)** is intended to be a network of telemedicine or telehealth programs staffed by academic health centers that provide in-school behavioral health care to at-risk children and adolescents or support and consultation to school staff. The role of TCHAT is the initial intervention, assessment, and referral, if necessary. TCHAT funds may not be used for ongoing management of the student's mental health needs. TCHAT programs at twelve (12) Health-Related Institutions were recommended for funding (**\$37.1 million for two years**).
- **Community Psychiatry Workforce Expansion** is intended to provide one full-time psychiatrist to serve as academic medical director at a community mental health facility and two resident rotation positions. Efforts are underway to match participating Health Related Institutions (HRIs) with Local Mental Health Authorities and other Community Mental Health providers. Thirty-one (31) LMHAs indicated interest in participating. Eleven (11) HRIs, partnered with fourteen (14) Community Mental Health Centers, were recommended for funding (**\$8 million for two years**).
- **Research** funding is intended to develop statewide research networks within the HRIs composed of researchers interested and skilled in the selected critical research topics and able to support research targeted at high priority areas affecting children and adolescents. Research was recommended for funding (**\$10 million for two years**).

THINGS EVERY BOARD MEMBER SHOULD BE TALKING ABOUT

January 2020

HOW Project: Preparing for and Responding to Mass Casualty Incidents

In the first quarter of the fiscal year, Texas Council's Health Opportunities Workgroup (HOW) focused on developing guidance for CEOs and other Community Center staff in response to mass casualty events. Community Centers are called upon not only to respond to the urgent mental health needs of survivors, witnesses, and first responders, but to act as a cornerstone for immediate and long-term community needs encompassing response and recovery.

For these reasons, the HOW, with input from involved Centers and HHSC Disaster Behavioral Health staff, developed a guide: *Preparing for Mass Casualty Events: What Every CEO Should Do*. A draft version was shared at the November 2019 Executive Directors Consortium. The guide is still being refined and will be distributed widely upon completion. Additionally, Texas Council plans to host a summit focused on Community Center preparedness and response to mass casualty incidents.

First Episode Psychosis (Coordinated Specialty Care)

Currently 23 LMHAs operate Coordinated Specialty Care (CSC) programs, focused on evidence-based practices designed to meet the needs of individuals with early onset psychotic disorders. These services are primarily funded through a federal block grant.

CSC programs were highlighted during the Senate Select Committee on Mass Violence Prevention and Community Safety hearing on December 4, 2019. The Select Committee will make recommendations related to community collaboration to detect, prevent, and respond to mass violence. Testimony described the value of CSC programs and noted an Exceptional Item requested by HHSC in the previous Session to allow for expansion of CSC programs statewide.

This approach is the most effective treatment for early psychosis. Ensuring access to services across the state is an important step in addressing the needs of the estimated 3,000 Texans who experience an initial episode of psychosis in a given year.

Outpatient Mental Health Services for People with IDD

HHSC was appropriated \$3 million for the 2020-21 biennium to provide outpatient mental health services for people with IDD. The agency is directed to expend \$1.5 million in each of the two years.

HHSC will use the FY2020 funds to support a learning community to advise HHSC on best practice models of services and associated outcome measures that demonstrate success providing outpatient mental health services for individuals with IDD. The best practice models and accompanying strategies to expand access to outpatient mental health services for persons with IDD developed by the learning community will inform use of funds in FY2021.

Annual Conference: San Antonio, June 24-26, 2020 / Award Nominations Open

The submission deadline for the 2020 Frank M. Adams Outstanding Volunteer Service Awards is fast approaching! Nominations are open for the following categories: individuals, community groups, and media. The award recognizes a level of volunteerism that is exceptional, far-reaching in scope, and demonstrates a long-term commitment on behalf of Community Centers. Nominations must be received at the Texas Council office no later than, 5:00 p.m., Friday, January 24, 2020. More information is available in the News section of the Texas Council Intranet site. If you have questions, contact Tara Brown at tbrown@txcouncil.com.

The Gulf Coast Center Fiscal Year 2020 Board Operational Budget Schedule		FY2020 Annual Budget Preliminary	FY2020 Sep-19	FY2020 Oct-19	FY2020 Nov-19	FY2020 Dec-19	FY2020 YTD Actual YTD % s/b 50%
EXPENSES - OPERATING							
Salary and Wages	15,058,123	1,141,961.46	1,174,705.76	1,278,076.32	1,144,734.39	4,739,477.93	31%
Fringe Benefits	5,282,912	418,986.73	448,825.99	487,994.53	469,790.49	1,825,597.74	35%
Travel	179,941	17,063.59	20,772.86	32,269.26	19,578.64	89,684.35	50%
Consumable Supplies	422,792	20,708.65	13,539.00	21,348.76	26,584.09	82,180.50	19%
Pharmaceuticals/Laboratory	318,500	23,500.00	26,309.96	29,377.36	22,624.69	101,812.01	32%
Capital Outlay - Furniture/Equipment/Facilities	428,401	73,566.84	38,158.07	401,268.85	527,031.92	1,040,025.68	243%
Facilities - Rent/Maintenance/Utilities	1,269,429	115,424.51	125,207.88	110,592.54	117,061.79	468,286.72	37%
Other Operating Expenses	2,312,030	136,597.56	222,529.03	202,172.93	220,816.49	782,116.03	34%
Client Support Costs	521,792	51,457.46	24,659.70	27,252.29	25,973.41	129,342.86	25%
Consultant/Professional Providers	8,290,444	578,013.41	609,077.23	843,822.59	739,338.99	2,770,252.22	33%
TOTAL EXPENSE	\$ 34,084,365	\$ 2,577,280.21	\$ 2,703,785.48	\$ 3,434,175.45	\$ 3,313,534.90	\$ 12,028,776.04	35%
REVENUES - OPERATING							
Local Funds							
County Funds	1,250,815	92,849.71	97,249.54	97,557.90	95,148.09	382,805.24	31%
Patient Fees Insurance/Reimbursement	519,693	58,981.22	55,736.73	38,604.38	73,818.37	227,140.70	44%
Miscellaneous Local Income	1,056,312	61,615.31	54,074.68	59,071.60	75,052.42	249,814.01	24%
Earned/Grant Income	2,826,820	213,446.24	207,060.95	195,233.88	244,018.88	859,759.95	
HUD - Transitional/Permanent Housing Recovery - Fee for Services / Grants	1,282,965	153,000.00	122,669.31	75,150.28	73,754.36	424,573.95	33%
Title XIX - Medicaid	3,488,411	254,700.00	189,529.17	371,781.51	164,603.35	980,614.03	28%
Transportation - Federal/State	2,800,934	181,051.41	235,396.17	182,978.07	210,840.12	810,265.77	29%
Medicaid 115 Waiver/Misc Federal	3,844,412	211,540.69	334,455.52	906,788.14	784,516.86	2,237,301.21	58%
Other State/Federal Funds/MAC	3,438,352	289,840.00	293,064.21	349,981.28	396,883.79	1,329,769.28	39%
Performance Contract DSHS & DADS	1,107,198	70,173.83	72,221.52	85,324.52	72,297.80	300,017.67	27%
General Revenue - MH (Adult & Child & Vets) - 10%	15,962,271	1,160,305.93	1,247,335.90	1,972,003.80	1,702,896.28	6,082,541.91	
General Revenue - Regional Hospital	6,833,144	594,526.28	598,426.26	659,838.27	674,360.94	2,527,171.75	37%
General Revenue - NGM (Adult & Child)	4,082,246	340,187.17	340,187.17	340,187.17	340,187.19	1,360,748.70	33%
General Revenue - Crisis Services - 10%	1,125,427	60,226.65	60,226.65	60,226.65	66,758.65	247,438.60	#DIV/0!
General Revenue/Permanency Plan - IDD	2,195,156	180,968.95	186,337.04	183,884.57	191,383.88	742,574.44	34%
Federal Block - MH (Adult & Child) and Crisis	1,059,301	82,948.58	82,948.58	96,417.61	122,779.27	385,094.04	36%
TOTAL REVENUES	15,295,274	1,258,837.63	1,268,125.70	1,340,574.27	1,395,469.93	5,263,027.53	36%
SUBTOTAL OPERATING (REV-EXP)	\$ 34,084,365	\$ 2,632,609.80	\$ 2,722,522.55	\$ 3,507,811.95	\$ 3,342,385.09	\$ 12,205,329.39	36%
FUND BALANCE EXPENDITURES (NOT Inc. above)							
Flexible Spending							
Flexible Spending - MH Adult	-	300.00	2,500.00	300.00	300.00	3,400.00	
Flexible Spending - IDD Services	-	1,370.00	495.00	1,000.00	500.00	3,365.00	
All Center Staff Recognition	-	-	-	-	-	-	
IDD Services - Community Support	-	3,515.70	2,673.00	5,643.00	3,726.00	15,557.70	
Connect Transit	-	-	-	-	-	-	
Connect Transit - Brazoria County	-	-	-	-	-	-	
Asset Management - Special Facility Projects	-	-	-	-	-	-	
Capital Projects - MIS Services	-	-	-	-	-	-	
Capital Projects - Major Facility/Equipment	-	-	-	-	-	-	
SUBTOTAL FUND BALANCE EXPENSE	\$ -	\$ 5,185.70	\$ 5,668.00	\$ 6,943.00	\$ 4,526.00	\$ 22,322.70	
VARIANCE (REV-EXP) -		\$ 50,143.89	\$ 13,069.07	\$ 66,693.50	\$ 24,324.19	\$ 154,230.65	

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The Gulf Coast Center

FY2020 Monthly Board Financial Review

Unaudited as of 12/31/2019

Fiscal Year 2020 Unaudited Centerwide General Fund Balance Status

Total General Fund Balance as of 08/31/19 (Unaudited).....				\$	(4,774,598)
FY2019 Year-to-Date Reported Expense and Revenue Totals (Unaudited)					
	Expenditures	Operational	12,028,776		
		Non-Operational	-		
		Fund Balance	22,323	12,051,099	
	Revenues	Operational	12,205,329		
		Non-Operational	-	12,205,329	\$ 154,231
Total General Fund Balance Year-to-Date (Unaudited).....				\$	(4,928,828)

Board Committed Use General Funds (Fiscal Year Committed)

Capital Projects - Facility (FY2008-FY2011)	(200,000)				
Capital Projects - Facility (FY2013)	(100,000)				
Capital Projects - Facility (FY2014)	(100,000)				
Capital Projects - Facility (FY2015)	(150,000)	(550,000.00)			
fy2008-fy2015 Expenditure		408,065.86			
fy2016 Expenditure		31,088.00			
fy2017 Expenditure		-			
fy2018 Expenditure		-			
				(110,846)	
Capital Projects - IT (FY2003-FY2014)	(600,000)				
Capital Projects - IT (FY2015)	(150,000)				
Capital Projects - IT (FY2017)	(140,000)	(890,000.00)			
fy2003-fy2015 Expenditure		677,587.43			
fy2016 Expenditure		-			
fy2017 Expenditure		66,432.75			
fy2018 Expenditure		-			
				(145,980)	
IDD Community Service Support (FY2011-2014)	(300,000)				
IDD Community Service Support (FY2016)	(100,000)				
IDD Community Service Support (FY2018)	(100,000)	(500,000.00)			
fy2005-fy2017 Expenditure		347,565.95			
fy2018 Expenditure		62,298.00			
fy2019 Expenditure		61,667.90			
fy2020 Expenditure		15,557.70			
				(12,910)	
Connect Transit (FY2015) LJ Urban	(320,000)	(320,000.00)			
fy2016-fy2017 Expenditure		-			
fy2018 Expenditure		-		(320,000)	
Connect Transit (FY2013) General Services	(422,869)	(422,869.00)			
fy2015-fy2016 Expenditure		300,000.00			
fy2017 Expenditure		-			
fy2018 Expenditure		-			
				(122,869)	
Major Facility Repairs (FY2014)	(186,940)	(186,940.00)			
fy2014-fy2015 Expenditure		186,940.00			
fy2016 Expenditure		-			
fy2017 Expenditure		-			
fy2018 Expenditure		-			
Flexible Spending Supports (FY2004-2013)	(500,000)				
Flexible Spending Supports (FY2018)	(100,000)	(600,000.00)			
fy2005-fy2017 Expenditure		485,466.77			
fy2018 Expenditure		15,893.58			
fy2019 Expenditure		16,303.09			
fy2020 Expenditure		6,765.00			
				(75,572)	(788,177)

Total General Fund Balance Year-to-Date (Unaudited) \$ (4,928,828)

Unrestricted Use General Fund Balance (Unaudited) \$ (4,140,651)

The Gulf Coast Center
FY2020 Monthly Fund Balance Report

Unaudited as of 12/31/2019

Fiscal Year 2020 Unaudited Centerwide General Fund Balance Status

Total General Fund Balance as of 08/31/19 (Unaudited)..... \$ (4,774,598)

FY2019 Monthly Reported Expense and Revenue Totals (Unaudited)		September	October	November	December	January	February	March	April	May	June	July	August
<i>Operational Expenses:</i>		2,577,280	2,703,785	3,434,175	3,313,535	-	-	-	-	-	-	-	-
<i>Non-Operational Expenses:</i>			(416,212)	(493,506)									
<i>Fund Balance Expenses:</i>		5,186	5,668	6,943	4,526	-	-	-	-	-	-	-	-
<i>Total Expenses:</i>		2,582,466	2,709,453	3,024,906	2,824,555	-	-	-	-	-	-	-	-
<i>Operational Revenues:</i>		2,632,610	2,722,523	3,507,812	3,342,385	-	-	-	-	-	-	-	-
<i>Non-Operational Revenues:</i>				(416,212.00)	(493,506.00)								
<i>Fund Balance Revenues:</i>													
<i>Total Revenues:</i>		2,632,610	2,722,523	3,091,600	2,848,879	-	-	-	-	-	-	-	-
<i>Net increase/decrease to Fund Balance</i>		50,144	13,069	66,694	24,324	-	-	-	-	-	-	-	-

<i>Total General Fund Balance:</i>	(4,824,741)	(4,837,811)	(4,904,504)	(4,928,828)	(4,928,828)	(4,928,828)	(4,928,828)	(4,928,828)	(4,928,828)	(4,928,828)	(4,928,828)	(4,928,828)	(4,928,828)
<i>Total Unrestricted Fund Balance</i>	(4,060,652)	(4,038,177)	(4,069,432)	(4,140,651)									

<i>Avg. Cost per day:</i>	85,909	87,219	128,346	122,808									
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DAYS OF OPERATION OF TOTAL FUND BALANCE		September	October	November	December	January	February	March	April	May
		56	55	38	40	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
DAYS OF OPERATION OF UNRESTRICTED FUND BALANCE		47	46	32	34	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!

Gulf Coast Center
Corporate Compliance
Annual Report
Fiscal Year 2019

Submitted by:
Cindy Kegg, Corporate Compliance Officer
Gulf Coast Center
January 2020

**Gulf Coast Center
Corporate Compliance Annual Report
Fiscal Year 2019**

Gulf Coast Center's Corporate Compliance Program has been in place since FY 2002 and continues to serve as the framework for the compliance efforts within the organization. The Compliance Plan and Business Code of Conduct convey the Center's clear commitment to honest and responsible conduct, and reinforce the expectation of lawful and ethical behavior throughout the organization. This report reflects key components of the Center's compliance program with corresponding activities of FY 2019, as well as comparison figures from FY 2002 through FY 2019 where indicated. The report also includes a summary of accomplishments from the Federal fiscal year (October 2018 – September 2019) as reported by the Office of Inspector General (OIG) and the Department of Justice (DOJ).

Corporate Compliance Training

Communicating the procedures, laws and expectations associated with compliance is key to the success of a compliance program. To that end, educating staff through annual compliance training continued to be a priority during FY 19 with participation required at all levels of the organization. New hires receive compliance training during New Employee Orientation (NEO) which is provided twice monthly. The frequency of compliance training not only provides new employees with timely education and early indoctrination into the Center's culture of compliance, but also offers multiple training opportunities for existing staff to obtain required annual compliance training. Twenty-two (22) compliance trainings were offered in NEO during FY 2019 with two (2) cancellations due to no new hires. An additional video presentation was provided to Connect Transportation staff on Saturday, February 9, 2019. A total of three hundred and sixty-one (361) participants attended compliance training during FY 2019. Confirmation of training completion is maintained by both Human Resources and the Compliance Officer. The Compliance Exemption Test which is available to eligible staff most years was pulled during FY 2019 and all staff were required to attend a live training.

Compliance Reports / Investigations

The Center's Compliance Officer received seventeen (17) reports during FY 2019. Sixteen (16) of the seventeen (17) concerns were related to confidentiality/HIPAA violations and impacted twenty-five (25) individuals. These violations were the result of human error and were primarily the consequence of either unencrypted emails or information being released to the incorrect person or entity. The majority of incidents impacted only one (1) individual with a single instance of an unencrypted email impacting ten (10) individuals. The final compliance concern reported during FY 2019 was an allegation of fraud. The investigation resulted in an unconfirmed finding with a further determination that the allegations were made in bad faith and with malicious intent; the reporter was subsequently recommended for termination.

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The below table denotes the number and type of compliance concerns reported to the Compliance Officer since the initiation of the compliance program in FY 2002.

(Numbers in parenthesis represent incidents resulting in a refund. For example: 2 (1) reads 2 investigations, 1 of which resulted in a refund. Similarly, the *Medicaid Refund* column denotes in parenthesis the number of incidents responsible for the refund for that fiscal year).

FY	Confidentiality	Billing/ Documentation	Credentials	Fraud Allegations Unconf. / Conf.		Contract/ Other	TOTAL	Medicaid Refund
2002	0	2 (1)	2 (1)	0		2	6	\$9,821.31 (2)
2003	1	3 (1)	3 (1)	0		1	8	\$3,654.20 (2)
2004	11	2 (1)	0	3 (1)	1 (1)	0	17	\$15,437.36 (3)
2005	14	2	0	1 (1)		0	17	\$17,699.55 (1)
2006	8	3 (1)	0	1 (1)		0	12	\$12,471.46 (2)
2007	11	3 (2)	0	0		0	14	\$14,994.83 (2)
2008	5	0	0	2		0	7	(0)
2009	5	2	0	3 (3)		0	10	\$27,672.28 (3)
2010	4	0	0	3 (3)	1 (1)	0	8	\$22,168.46 (4)
2011	7	0	0	0		1	8	(0)
2012	22	2 (1)	0	1		1	26	\$46.76 (1)
2013	18	1	0	1 (1)		2	22	\$275.22 (1)
2014	22	0	0	0	0	0	22	(0)
2015	18	2	0	0	0	0	20	(0)
2016	111	1	0	0	0	0	112	\$2,585.28 (1)
2017	25	0	0	0	0	0	25	(0)
2018	18	0	0	0	0	0	18	(0)
2019	16	0	0	1 (0)	0	0	17	(0)
Total	316	23	5	9	9	7	369	\$126,826.71 (22)

Annual Compliance Survey

The annual *Corporate Compliance Survey* was distributed to all staff in September 2019. The survey serves as a follow-up to the FY 2019 compliance training and evaluates staff competency on basic compliance information. The survey further evaluates staffs’ perception of the compliance plan through questions related to the successful resolution of reported concerns, if applicable, as well as management support. In addition, the survey provides another method for submitting compliance issues or concerns that were not previously reported. Overall, survey responses indicated that staff were both knowledgeable and satisfied with the manner in which reported issues were addressed during FY 2019. Follow-up was attempted with two (2) staff to further explore comments made on the survey. Both an email and a letter were sent but neither staff responded; one of the staff subsequently resigned from the Center.

Corporate Compliance Committee

The Corporate Compliance Committee meets regularly and continues to advise and assist the Compliance Officer with monitoring the compliance program and ensuring that the Center’s compliance efforts are both effective and responsive to the needs of the organization. The committee convened quarterly during FY 2019 with meetings on September 18, 2018; December 18, 2018; March 19, 2019; and June 19, 2019.

Although committee membership remained constant during FY 2019, two new participants were recommended by the committee and approved by CEO Melissa Tucker in September 2018. Chief Information Officer Reginald Brumfield replaced retired staff, Pam Melgaras. Sandy

Patterson was appointed as the permanent Adult Mental Health representative – a seat that had rotated through Mental Health Program Managers since 3rd quarter, FY 2017. The Center position of Recovery Director was not filled during FY 2019; instead, a single Director of Behavioral Health Services position was created in each county for oversight of both Recovery and Adult Mental Health Service. On March 1, 2019, Sandy became the Director serving Galveston County. In addition, Amy McMahon became the Director serving Brazoria County in addition to her role of Director of Youth Behavioral Services.

Membership during FY 2019 included the following individuals and is reflective of the major service areas:

Amy McMahon, Director of Behavioral Health Services, Brazoria County / Youth Behavioral Health
Casey Duty, Manager of Utilization Services
Cindy Kegg, Corporate Compliance Officer/Rights Protection Officer
Donna Gordon, Director of Reimbursement Services
Jeanine McNulty, Chief Human Resources Officer
Jerry Freshour, Director of Crisis & Community Outreach
Melissa Hollman, Contract Manager/QM Supervisor
Reggie Brumfield, Chief Information Officer
Sandy Patterson, Director of Behavioral Health Services, Galveston County
Timothy Ornelas, IDD Crisis Intervention Specialist

In addition to addressing and responding to any specific concerns identified during the quarterly meetings, the committee routinely reviews the following:

- Compliance reports and incidents
- Compliance investigations
- Business Code of Conduct Violations
- Overpayments / refunds / fee collection
- Audit findings
- Quality Management activities
- Drug and alcohol testing (Connect Transit and other Center programs)
- Licensure / website verifications / exclusion lists / background check issues
- HITECH Breach Notification issues
- The Compliance Plan, Compliance Procedures and Business Code of Conduct
- Risk and Compliance Annual Assessment
- MIS Security Risks/Education

Fiscal Audit

The Center's Annual Financial and Compliance Audit for the year ending August 31, 2019 was completed by Eide Bailley in January 2020. Although the final report had not been received by the date this Annual Compliance Report was submitted, per Chief Financial Officer Rick Elizondo, there were no Federal or State compliance findings. The audit will be presented to the Center's Board of Trustees for review and approval at the January 29, 2020 Board Meeting.

Compliance – A Federal Perspective

On December 2, 2019 the Department of Health and Human Services, Office of Inspector General posted the OIG's Semi-Annual Report to Congress¹ covering April 1, 2019– September 30, 2019. The report highlighted the achievements of the 2019 Federal fiscal year (October 1, 2018 through September 30, 2019) and included the below accomplishments:

- expected investigative recoveries of \$5.9 billion in misspent Medicare, Medicaid, and other health and human service program dollars returned to the government;
- criminal actions against 809 individuals or organizations engaging in crimes against HHS programs and the beneficiaries they serve;
- civil actions against 695 individuals or entities including false claims and unjust-enrichment lawsuits filed in Federal district court, civil monetary penalty settlements, and administrative recoveries related to provider self-disclosure matters;
- exclusion of 2,640 individuals and entities from participation in Medicare, Medicaid, and other Federal health care programs.

On January 9, 2020 a press release from the *Office of Public Affairs* reported that the Department of Justice recovered over \$3 billion in False Claims Act cases in fiscal year ending Sept. 30, 2019. According to the release, recoveries since 1986 when Congress substantially strengthened the civil False Claims Act now total \$62 billion. Accomplishments highlighted in the DOJ report include:

- Of the more that \$3 billion in settlements and judgments recovered by the Department of Justice this past fiscal year, \$2.6 relates to matters that involved the health care industry including drug and medical device manufacturers, managed care providers, hospitals, pharmacies, hospice organizations, laboratories, and physicians. This is the tenth consecutive year that the department's civil health care fraud settlements and judgments have exceeded \$2 billion.
- Of the \$3 billion in settlements and judgments reported by the government in fiscal year 2019, over \$2.1 billion arose from lawsuits filed under the *qui tam*² provisions of the False Claims Act. The number of such lawsuits has grown significantly since 1986 with 633 *qui tam* suits filed this past year – an average of more than 12 new cases every week.

Conclusion

The Center continues to demonstrate a good faith effort to promote honest and responsible behavior through the expectations set forth in the Compliance Plan and Business Code of Conduct. Educating staff and conveying clear expectations of conduct serves to further reinforce organizational integrity and reduce the risk of illegal or unethical behavior. The Compliance Officer, Compliance Committee, General Counsel and Executive Management will remain resolute in the commitment to maintain the Center's established compliance foundation and work collaboratively to identify and respond to new organizational challenges.

¹ Inspector General Act of 1978 (Public Law 95-452), as amended, requires that the OIG report semiannually to the head of the Department and the Congress on the activities of the office during the 6-month periods ending March 31st and September 30th. Semiannual Reports describe OIG's work on identifying significant problems, abuses, deficiencies, and investigative outcomes relating to the administration of HHS programs and operations that were disclosed during the reporting period.

² *Qui tam* provisions allow private citizens to file suits alleging false claims on behalf of the government. If the United States prevails in the action, the whistleblower, known as a relator, receives up to 30 percent of the recovery.

THE GULF COAST CENTER
Regular Board of Trustees Meeting
Mainland Community Service Center
7510 FM 1765, Texas City, TX
Wednesday, December 11, 2019
6:15 pm



"Better community healthcare promoting healthy living."

Minutes

- 1) **Call To Order**– Jamie Travis, Chair of the Board of Trustees, convened the regular meeting on Wednesday, December 11, 2019 at 6:23 p.m. at the Mainland Community Service Center located at 7510 FM 1765, Texas City, Texas.

The following Board Members were present: Jamie Travis; Lt. Jaime Castro, Galveston County Sheriff's Department; Vivian Renfrow; Caroline Rickaway; Mary Lou Flynn-Dupart; Lori Rickert; Wayne Mallia; and Rick Price.

The following Board Members were absent: Stephen Holmes, Excused

Also present were: Melissa Tucker, CEO – Gulf Coast Center; Chris Cahill - The Cahill Law Firm; and several Center staff.

- 2) **Citizens' Comments**: Jamie Travis, Chair of the Board of Trustees, introduced the new board member, Judge Wayne Mallia, who will represent Galveston County.

- 3) **Program Report**: Sarah Holt, Chief Nursing Director, introduced the Genoa Pharmacy Team and provided the Board of Trustees a tour of the new pharmacy. Eslam "Ace" Magrid, Pharmacy Manager for Genoa, presented a power point overview highlighting the benefits Genoa services offers.

Linda Bell, JD, BSN, RN, provided training on HB2840: Open Meeting Act rule change regarding public comments.

- 4) **Board Member Reports**:

- a. Texas Council of Community Centers: Jamie Travis advised that the next quarterly board meeting will be held on January 16-18, 2020 in Austin.
- b. Texas Council Risk Management Fund: Mary Lou Flynn-Dupart briefly reviewed the two-page handout distributed to the board members.

- 5) **CEO Report**: Melissa Tucker, CEO

- a. DSRIP (1115) Transition: Melissa Tucker, CEO, explained that Texas Health and Human Services Commission submitted their proposal to Centers for Medicare and Medicaid Services (CMS), describing how its delivery system reform efforts could continue without DSRIP funding. Transition planning to become a Certified Community Behavioral Health Clinic was discussed.

b. Taking Texas Tobacco Free Projects: Melissa Tucker, CEO, and Linda Bell, General Counsel, discussed Integral Care's Taking Texas Tobacco Free initiative. Gulf Coast Center will join 22 other centers in efforts to establish tobacco free facilities. An executive summary of the project and implementation was provided.

6. Operations Report: NONE

7. Budget, Finance and Admin Reports:

- a. Financial & Operational Monthly Report & YTD Summary: Melissa Tucker, CEO, reviewed the overall financial variance through October 2019. As well as the days of operation total fund balance, restricted and unrestricted.
- b. Sale of Property Update: Melissa Tucker, CEO, discussed the remaining property for sale – Jones Building – informing that the current real estate broker contract will be coming to an end soon. Staff will prepare a report detailing costs which have accumulated for this property while it has been for sale. The board will review this report during the January 2020 board meeting.

8. Consent Agenda:

Consideration and Approval of Recommendations and Acceptance of Consent Agenda Items.

(Consent agenda items may be pulled from this consideration for individual action or presentation.)

a. Review and approval of September 25, 2019 board meeting minutes.

On motion by Jaime Castro, seconded by Mary Lou Flynn-Dupart, the board voted the approval of the September 25, 2019 board meeting minutes. The motion carried with all members voting in favor.

b. Review and approval of September & October 2019 check register.

On motion by Jaime Castro, seconded by Mary Lou Flynn-Dupart, the board voted the approval of the September and October 2019 check register. The motion carried with all members voting in favor.

9. Action Items:

a. Consider the approval of a mental health day to be utilized during FY20 for Center employees.

Deferred

b. Consider the approval of the Resolution wherein the Gulf Coast Center Board of Trustees approves submission and receipt of the grant application for the Santa Fe Community Response Team through the Office of the Governor.

On motion by Jaime Castro, seconded by Vivian Renfrow, the board voted the approval of the Resolution wherein the Gulf Coast Center Board of Trustees approves submission and receipt of the grant application for the Santa Fe Community Response Team through the Office of the Governor. The motion carried with all members voting in favor.

c. Consider approval of the Purchase Order for eight, Medium Duty transit vehicles with gasoline engines based on the Solicitation #2016-Transit-002. The total amount for this order is \$944,216.

On motion by Jaime Castro, seconded by Caroline Rickaway, the board voted the approval of the Purchase Order for eight, Medium Duty transit vehicles with gasoline engines based on the

Solicitation #2016-Transit-02. The total amount for this order is \$944,216. The motion carried with all members voting in favor.

d. Consider the approval of the Resolution by the Board of Trustees of the Gulf Coast Center approving the Goodman Corporation work order #21 for extension of time of completion for the City of Angleton pedestrian improvement projects through fiscal year 2021.

On motion by Mary Lou Flynn-Dupart, seconded by Jaime Castro, the board voted the approval of the Resolution by the Board of Trustees of the Gulf Coast Center approving the Goodman Corporation work order #21 for extension of time of completion for the City of Angleton pedestrian improvement projects through fiscal year 2021. The motion carried with all members voting in favor.

e. Consider the approval of the Resolution by the Board of Trustees of the Gulf Coast Center approving the Goodman Corporation work order #22 for extension of time of completion for the City of Clute pedestrian improvement projects through fiscal year 2020.

On motion by Mary Lou Flynn-Dupart, seconded by Jaime Castro, the board voted the approval of the Resolution by the Board of Trustees of the Gulf Coast Center approving the Goodman Corporation work order #22 for extension of time of completion for the City of Clute pedestrian improvement projects through fiscal year 2020. The motion carried with all members voting in favor.

f. Consider approval to authorize the Chief Executive Officer to enter into an Interlocal Agreement with City of Angleton for pedestrian, transit connectivity improvements on Cedar Street with a total cost not to exceed \$400,000.00.

On motion by Mary Lou Flynn-Dupart, seconded by Lori Rickert, the board voted the approval to authorize the Chief Executive Officer to enter into an Interlocal Agreement with City of Angleton for pedestrian, transit connectivity improvements on Cedar Street with a total cost not to exceed \$400,000.00. The motion carried with all members voting in favor.

g. Consider approval to authorize the Chief Executive Officer to enter into an Interlocal Agreement with the City of Clute for improvements on South Main and South Shanks which will enhance transit connectivity, cost not to exceed \$510,000.00.

On motion by Mary Lou Flynn-Dupart, seconded by Lori Rickert, the board voted the approval to authorize the Chief Executive Officer to enter into an Interlocal Agreement with the City of Clute for improvements on South Main and South Shanks which will enhance transit connectivity, cost not to exceed \$510,000.00. The motion carried with all members voting in favor.

h. Consider approval of the Connect Transit Equal Employment Opportunity Program developed in December of 2019.

On motion by Vivian Renfrow, seconded by Mary Lou Flynn-Dupart, the board voted the approval of the Connect Transit Equal Employment Opportunity Program developed in December of 2019. The motion carried with all members voting in favor.

i. Consider approval of Board Resolution No 2019-2 for transfer of \$300,000 (committed amount) of cash reserves for capital improvements and replacements. Updates on the status of cash transfers for capital replacements will be made at the Board of Trustees' monthly meetings as a part of the Center's financial report. The effective date of the Committed Cash Balance amounts will be effective August 30, 2019.

On motion by Mary Lou Flynn-Dupart, seconded by Vivian Renfrow, the board voted the approval of Board Resolution No 2019-2 for transfer of \$300,000 (committed amount) of

cash reserves for capital improvements and replacements. Updates on the status of cash transfers for capital replacements will be made at the Board of Trustees' monthly meetings as a part of the Center's financial report. The effective date of the Committed Cash Balance amounts will be effective August 30, 2019. The motion carried with all members voting in favor.

j. Consider approval of the Memorandum of Understanding with The University of Houston and Integral Care for the Center to participate in the Taking Texas Tobacco Free project, a multi-component tobacco-free workplace program for Substance Use Treatment Centers in Texas.

On motion by Caroline Rickaway, seconded by Lori Rickert, the board voted the approval of the Memorandum of Understanding with the University of Houston and Integral Care for the Center to participate in the Taking Texas Tobacco Free project, a multi-component tobacco-free workplace program for Substance Use Treatment Centers in Texas. The motion carried with all members voting in favor. Mary Lou Flynn-Dupart abstained from the vote.

k. Consider ratification of the below listed Residential Services Network Agreement and IDD Provider Services Network Agreement:

IDD Provider Network	Services	Not to Exceed Amount
Lifetime Homecare HCS, LLC	Short term Crisis Respite services	\$120,000
The Harmony Place Community Care, LLC	Community Supports, Day hab, Behavioral Supports, crisis respite, respite, supported home living, Nursing Facility Specialized Services, skills training	FY20 IDD Network Fee Schedule
Recovery Residential Provider Network	Services	Not to Exceed Amount
Alcohol Drug Abuse Women's Center, Inc.	TRA Intensive, TRA Supportive, TRF Intensive, TRF Supportive	\$247,389.96
BARC Medically Indigent Care	TRA Detox, TRA Intensive, TRA Supportive	\$141,698.69
ADAPT Foundation Inc. dba Brazos Place	TRA Detox, TRA Intensive, TRA Supportive, TRF Detox, TRF Intensive, TRF Supportive	\$382,701.40
On the Pathway to Recovery	TRA Intensive, TRA Supportive	\$618,854.91
TRA Detox = \$191, TRA Intensive = \$92, TRA Supportive = \$35, TRF Detox = \$191, TRF Intensive = \$92, TRF Supportive = \$68		

On motion by Caroline Rickaway, seconded by Mary Lou Flynn-Dupart, the board voted the approval of the above identified listed Residential Services Network Agreement and IDD Provider Services Network Agreement. The motion carried with all members voting in favor.

10. Pending or Revised Action Items:

Pending or revised items are those items which were on a prior board agenda but not completely resolved or there has been a revision since approval. The items may be listed for update purposes or final action by the Board.

a. Consider re-approval of the renewal agreement with the County of Galveston to fund a support staff/Mental Health depute position for the Mental Health Deputies Program. There was an error in the not to exceed amount. The correct not to exceed for this agreement is \$80,304.59.

On motion by Caroline Rickaway, seconded by Vivian Renfrow, the board voted the re-approval of the renewal agreement with the County of Galveston to fund a support staff/Mental Health deputy position for the Mental Health Deputies Program. There was an error in the not to exceed amount. The correct not to exceed for this agreement is \$80,304.59. The motion carried with all members voting in favor. Jaime Castro abstained from the vote.

11. Calendar.....Jamie Travis, Board Chair

Date	Event	Time	Location
January 29, 2020	Board Meeting	6:15 PM	Angleton
February 26, 2020	Board Meeting	6:15 PM	Texas City
March 25, 2020	Board Meeting	6:15 PM	Angleton
April 22, 2020	Board Meeting	6:15 PM	Texas City
May 27, 2020	Board Meeting	6:15 PM	Angleton
June 24-26, 2020	Annual Conference		Grand Hyatt – San Antonio
July 22, 2020	Board Meeting	6:15 PM	Texas City
August 26, 2020	Board Meeting	6:15 PM	Angleton

12. Executive Session: NONE

As authorized by Chapter §551.072 of the Texas Government Code for deliberations related to real property.

13. Action Regarding Executive Session: NONE

14. Adjourn:

There being no further business to bring before the Board of Trustees the meeting was adjourned at 7:32 p.m.

Respectfully,

Approved as to Content and Form,

Cathy Claunch-Scott
Secretary to the Board of Trustees

Jamie Travis
Board Chair

The Gulf Coast Center FY20 Board Operational Budget Schedule Originally Presented Board: 09/11/2019	Fiscal Year 2020 Annual Budget		FY2020	FY2020	FY2020	Fiscal Year 2020
	Initial Budget 9/1/2019		GCC #1	GCC #2	GCC #3	Annual Budget
						Revised Budget Revised Current
EXPENSES - OPERATING						
Salary and Wages	15,058,123	44%	60,000	-	-	15,118,123
Fringe Benefits	5,282,912	15%	700,000	-	-	5,982,912
Travel	179,941	1%	-	-	-	179,941
Consumable Supplies	422,792	1%	-	-	-	422,792
Pharmaceuticals/Laboratory	318,500	1%	-	-	-	318,500
Capital Outlay - Furniture/Equipment/Facilities	428,401	1%	-	-	-	428,401
Facilities - Rent/Maintenance/Utilities	1,269,429	4%	190,000	-	-	1,459,429
Other Operating Expenses	2,312,030	7%	395,000	-	-	2,707,030
Client Support Costs	521,792	2%	-	-	-	521,792
Consultant/Professional Providers	8,290,444	24%	1,521,620	-	-	9,812,064
TOTAL EXPENSE	\$ 34,084,365		\$ 2,866,620	\$ -	\$ -	\$ 36,950,985
REVENUES - OPERATING						
Local Funds						
County Funds	1,250,815		-	-	-	1,250,815
Patient Fees Insurance/Reimbursement	519,693		-	-	-	519,693
Miscellaneous Local Income	1,056,312	8%	-	-	-	1,056,312
Earnest/Grant Income	2,826,820		-	-	-	2,826,820
HUD - VOCA - SERG	1,282,965		-	-	-	1,282,965
Recovery - Fee for Services / Grants	3,488,411		1,128,615	-	-	4,617,026
Title XIX - Medicaid	2,800,934	8%	(141,639)	-	-	2,659,295
Transportation - Federal/State	3,844,412		-	-	-	3,844,412
Medicaid 1115 Waiver (DSRIP)	3,438,352	10%	929,648	-	-	4,368,000
Other State/Federal Funds/MAC	1,107,198		-	-	-	1,107,198
Performance Contract HHSC	15,962,271	47%	1,916,624	-	-	17,878,895
General Revenue - MH (Adult & Child & Vets)	6,833,144		769,071	-	-	7,602,215
General Revenue - MH (Adult & Child PPB)	-		180,925	-	-	180,925
General Revenue - Regional Hospital	4,082,246		-	-	-	4,082,246
General Revenue - NGM (Adult & Child)	-		-	-	-	-
General Revenue - Crisis Services	1,125,427		-	-	-	1,125,427
General Revenue/Permanency Plan - IDD	2,195,156		-	-	-	2,195,156
Federal Block - MH (Adult & Child) and Crisis	1,059,301		-	-	-	1,059,301
TOTAL REVENUES	\$ 34,084,365		\$ 2,866,620	\$ -	\$ -	\$ 36,950,985
SUBTOTAL OPERATING (Rev-Exp)	\$ 0		\$ -	\$ -	\$ -	\$ 0

The Gulf Coast Center FY20 Board Operational Budget Schedule	Fiscal Year 2020		FY2020		FY2020		Fiscal Year 2020	
	Annual Budget Initial Budget 9/1/2019		GCC #1	GCC #2	GCC #2	GCC #3	Annual Budget	Revised Budget Revised Current
<i>Connect Transit</i>								
Capital Projects - Expenses	-		9,531,502	-	-	-	9,531,502	
Capital Projects - Revenues	-		(9,531,502)	-	-	-	(9,531,502)	
<i>One-Time Purchase and/or Adjustment</i>								
Connect Vehicles - Expenses	-		1,430,000	-	-	-	1,430,000	
Connect Vehicles - Revenues	-		(1,430,000)	-	-	-	(1,430,000)	
<i>Staff Salary Increase</i>								
Expenses	-		-	-	-	-	-	
Revenues	-		-	-	-	-	-	
SUBTOTAL NON-OPERATING (Rev-Exp)	\$ -		\$ (0)	\$ -	\$ -	\$ -	\$ (0)	
TOTAL OPERATING AND NON (Rev-Exp)	\$ 0		\$ (0)	\$ -	\$ -	\$ -	\$ 0	
TOTAL BUDGET	34,084,365.32		13,828,122.00	-	-	-	47,912,487.32	